



PATENT  
Attorney Docket No. A-71386-8 (463077-275) RMS/RMK

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

LAZAR, *et al.*

Serial No. 10/822,231

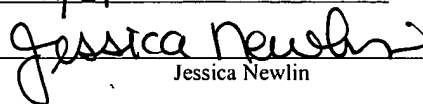
Filed: 03/26/04

For: *Optimized Fc Variants and  
Methods for Their Generation*

Group Art No. 1644

Examiner: Not Assigned

**CERTIFICATE OF MAILING:** I hereby certify that this document is being sent via first class U.S. mail with sufficient postage addressed to: Mail Stop Missing Parts, Commissioner for Patents, P. O. Box 1450, Alexandria, Virginia 22313-1450 on

11/8/04  
  
Jessica Newlin

**Transmittal**

Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application mailed on July 8, 2004, a copy of which is enclosed, applicant submits the following, with a two month extension of time on or before November 8, 2004.

- 1) Copy of Notice to File Missing Parts of Nonprovisional Application,
- 2) Check in the amount of \$1288,
- 3) Copy of Signed Declaration,
- 4) Supplemental Application Data Sheet,
- 5) Original Application Data Sheet,
- 6) Power of Attorney,

- 7) Drawings showing corrections,
- 8) Replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121,
- 9) Sequence Listing (paper copy and diskette),
- 10) Preliminary Amendment,
- 11) Petition for Two Months Extension of Time,
- 12) Check in the amount of \$215.00,
- 13) Copy of Request for Corrected Filing Receipt,
- 14) Copy of Petition to Accept an Unintentionally Delayed Claim, and
- 15) Return Post Card

The Commissioner is authorized to charge any required fees, or credit any overpayment to  
Deposit Account No. 50-2319 (Our Order No. A-71386-8 (463077-275) RMS/RMK).

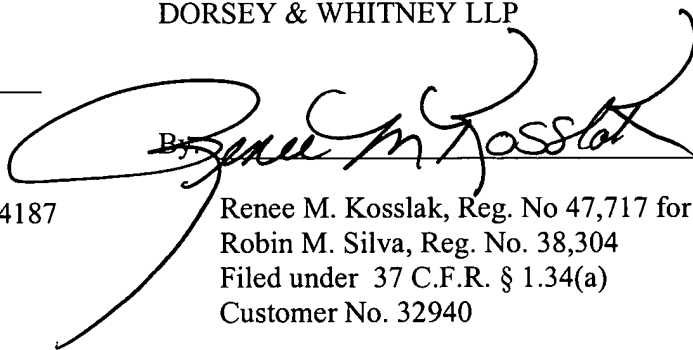
Please direct any calls in connection with this application to the undersigned at  
(415) 781-1989.

Respectfully submitted,

DORSEY & WHITNEY LLP

Dated: 11/8/04

Four Embarcadero Center  
Suite 3400  
San Francisco, California 94111-4187  
Telephone: (415) 781-1989  
Fax No. (415) 398-3249

By:   
Renee M. Kosslok, Reg. No 47,717 for  
Robin M. Silva, Reg. No. 38,304  
Filed under 37 C.F.R. § 1.34(a)  
Customer No. 32940



## UNITED STATES PATENT AND TRADEMARK OFFICE

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JPW #

APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/822,231	03/26/2004	Gregory Alan Lazar	A-71386-8

CONFIRMATION NO. 1316

## FORMALITIES LETTER



\*OC000000013186893\*

Robin M. Silva, Esq.  
 Dorsey & Whitney LLP  
 Intellectual Property Department  
 Four Embarcadero Center, Suite 3400  
 San Francisco, CA 94111-4187

Date Mailed: 07/08/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

11/12/2004 MBLANCO 00000003 502319 10822231

01 FC:2051	65.00 OP	FILED UNDER 37 CFR 1.53(b)
02 FC:2202	693.00 OP	
03 FC:2001	395.00 OP	
04 FC:2203	135.00 OP	

15.00 DA

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 385 to complete the basic filing fee for a small entity.*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121 are required. The drawings submitted are not acceptable because:
  - More than one figure is present and each figure is not labeled "Fig." with a consecutive Arabic numeral (1, 2, etc.) or an Arabic numeral and capital letter in the English alphabet (A, B, etc.)(see 37 CFR 1.84(u)(1)). See Figure(s) 38C.
- This application clearly fails to comply with the requirements of 37 CFR. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at

63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

**For questions regarding compliance to these requirements, please contact:**

- **For Rules Interpretation, call (703) 308-4216**
- **To Purchase PatentIn Software, call (703) 306-2600**
- **For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)**

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of **\$838** as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

**SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is **\$1288** for a Small Entity

- **\$385** Statutory basic filing fee.
- **\$65** Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is **\$838**
  - **\$693** for **77** total claims over 20.
  - **\$145** for multiple dependent claim surcharge.

Replies should be mailed to: Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

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*A copy of this notice **MUST** be returned with the reply.*



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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE